

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Kevin J. Dowling et al.  
Serial No.: 09/805590  
Confirmation No.: 1160  
Filed: March 13, 2001  
Patent No.: 7064498  
For: LIGHT-EMITTING DIODE BASED PRODUCTS

Examiner: A, Minh D  
Art Unit: 2821

**REQUEST FOR CERTIFICATE OF CORRECTION  
PURSUANT TO 37 CFR 1.322**

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Upon reviewing the above-identified patent, Patentee noted typographical errors which should be corrected. The errors noted herein respectfully are believed to be due to the USPTO and not the Applicants; accordingly, no fee is required.

Inventors

A Request to Correct Inventorship Under 37 C.F.R. 1.48(a) was filed on March 31, 2005 to add inventor Timothy Holmes (a copy of the Request, approved by the Examiner, is attached). Accordingly, inventor Timothy Holmes should be listed on the Patent.

Related U.S. Application Data

The priority data includes typographical errors. The priority should be listed as follows:

Continuation-in-part of application No. 09/742,017, filed on Dec. 20, 2000, now abandoned,  
which is a continuation of application No. 09/213,548, filed on Dec. 17, 1998, now Pat No.

6,166,496, and a continuation-in-part of application No. 09/669,121, filed on Sep. 25, 2000, which is a continuation of application No. 09/425,770, filed on Oct. 22, 1999, now Pat. No. 6,150,774, which is a continuation of application No. 08/920,156, filed on Aug. 26, 1997, now Pat. No. 6,016,038, and a continuation-in-part of application No. 09/626,905, filed on Jul. 27, 2000, and a continuation-in-part of application No. 09/344,699, filed on Jun. 25, 1999, and a continuation-in-part of application No. 09/333,739, filed on June 15, 1999, and a continuation-in-part of application No. 09/215,624, filed on Dec. 17, 1998, now Pat. No. 6,528,954, ~~which is and~~ a continuation-in-part of application No. 0/213,607, filed on Dec. 17, 1998, and a continuation-in-part of application No. 09/213,189, filed on Dec. 17, 1998, now Pat. No. 6,459,919, and a continuation-in-part of application No. 09/213,581, filed on Dec. 17, 1998, and a continuation-in-part of application No. 09/213,540, filed on Dec. 17, 1998, now Pat. No. 6,720,745 ~~which is a continuation of application No. 09/213,548, filed on Dec. 17, 1998, now Pat. No. 6,166,496, which~~ . This application is a continuation-in-part of application No. 09/213,537, filed on Dec. 17, 1998, now Pat. No. 6,292,901, and a continuation-in-part of application No. 09/213,659, filed on Dec. 17, 1998, now Pat. No. 6,211,626, ~~which is a continuation of application No. 08/920,156, filed on Aug. 26, 1997, now Pat. No. 6,016,038.~~

#### References Cited

There are several references that were cited by the Applicants that are not listed on the Patent. Copies of the submitted Information Disclosure Statements and PTO-1449s that were received and noted as considered by the Examiner (i.e., initialed by the Examiner) are enclosed. These cited references should also be listed on the Patent.

#### In the Claims

Pursuant to Amendments dated May 31, 2005 and April 4, 2005, claim 1 should appear as follows (underlined portions below are missing from the issued patent):

1. A device comprising:

a plurality of LEDs configured to produce light that includes at least two different spectra;

a material configured to receive the light emitted from the plurality of LEDs, and to display a color that is a combination of the at least two different spectra;

a memory to store at least one lighting program;

a processor configured to generate at least one control signal to control power delivered to one or more of the plurality of LEDs in response to execution of the at least one lighting program, the processor further configured to change the at least one control signal over time so as to produce from the device at least one dynamic lighting effect determined substantially by the at least one lighting program; and

a user interface adapted to receive a user input to control operation of the processor so as to facilitate a change in the color that is the combination of the at least two different spectra.

**CONCLUSION**

Transmitted herewith is a proposed Certificate of Correction to address the issues noted above. Patentee respectfully solicits the granting of the requested Certificate of Correction.

Applicant believes no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 23/2825, under Attorney Docket No. C1104.70016US00 from which the undersigned is authorized to draw.

Dated: October 31, 2006

Respectfully submitted,

By / Joseph Teja, Jr. /

Joseph Teja, Jr.

Registration No.: 45,157

WOLF, GREENFIELD & SACKS, P.C.

Federal Reserve Plaza

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

(617) 646-8000

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

Page 1 of 6

PATENT NO. : 7064498  
APPLICATION NO. : 09/805590  
ISSUE DATE : June 20, 2006  
INVENTOR(S) : Kevin J. Dowling et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

**Inventors:**

Kevin J. Dowling, Westford, MA (US)  
Frederick M. Morgan, Quincy, MA (US)  
Ihor A. Lys, Boston, MA (US)  
Michael K. Blackwell, Milton, MA (US)  
Alfred Ducharme, Tewksbury, MA (US)  
Ralph Osterhout, San Francisco, CA (US)  
Colin Piepgras, Salem, MA (US)  
George G. Mueller, Boston, MA (US)  
Dawn Geary, Southborough, MA (US)  
Timothy Holmes, Jacksonville, FL (US)

**Related US Application Data:**

Continuation-in-part of application No. 09/742,017, filed on Dec. 20, 2000, now abandoned, which is a continuation of application No. 09/213,548, filed on Dec. 17, 1998, now Pat. No. 6,166,496, and a continuation-in-part of application No. 09/669,121, filed on Sep. 25, 2000, which is a continuation of application No. 09/425,770, filed on Oct. 22, 1999, now Pat. No. 6,150,774, which is a continuation of application No. 08/920,156, filed on Aug. 26, 1997, now Pat. No. 6,016,038, and a continuation-in-part of application No. 09/626,905, filed on Jul. 27, 2000, and a continuation-in-part of application No. 09/344,699, filed on Jun. 25, 1999, and a continuation-in-part of application No. 09/333,739, filed on June 15, 1999, and a continuation-in-part of application No. 09/215,624, filed on Dec. 17, 1998, now Pat. No. 6,528,954, and a continuation-in-part of application No. 0/213,607, filed on Dec. 17, 1998, and a continuation-in-part of application No. 09/213,189, filed on Dec. 17, 1998, now Pat. No. 6,459,919, and a continuation-in-part of application No. 09/213,581, filed on Dec. 17, 1998, and a continuation-in-part of application No. 09/213,540, filed on Dec. 17, 1998, now Pat. No. 6,720,745. This application is a continuation-in-part of application No. 09/213,537, filed on Dec. 17, 1998, now Pat. No. 6,292,901, and a continuation-in-part of application No. 09/213,659, filed on Dec. 17, 1998, now Pat. No. 6,211,626.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Joseph Teja, Jr.  
WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206

## References Cited

The following citations should be added to the Patent:

### US PATENT DOCUMENTS

US2004/0218387	11/04/2004	Gerlach
US2005/0122064	06/09/2005	Chevalier et al.
US2005/0122292	06/09/2005	Schmitz et al.
US2005/0122718	06/09/2005	Kazar et al.
US2005/0128743	06/16/2005	Chuey et al.
1,603,055	10/12/1926	R.G. Williams
2,591,650	04/01/1952	R.G. Williams
2,642,553	06/16/1953	R.G. Williams
2,644,912	07/07/1953	R.G. Williams
2,651,743	09/08/1953	R.G. Williams
2,657,338	10/27/1953	R.G. Williams
2,673,923	03/30/1954	R.G. Williams
2,686,866	08/17/1954	R.G. Williams
3,037,110	05/29/1962	R.G. Williams
3,760,174	09/18/1973	Boenning et al.
4,317,071	02/23/1982	Murad
4,342,906	08/03/1982	Hyatt
4,470,044	09/04/1984	Bell
4,957,291	09/18/1990	Miffitt et al.
5,136,483	08/04/1992	Schöniger et al.
5,165,778	11/24/1992	Matthias et al.
5,365,084	11/15/1994	Cochran et al.
5,400,228	03/21/1995	Kao
5,519,496	05/21/1996	Borgert et al.
5,577,832	11/26/1996	Lodhie
5,655,830	08/12/1997	Ruskouski
5,902,166	05/11/1999	Robb
6,002,216	12/14/1999	Mateescu
6,023,255	02/08/2000	Bell
6,183,108	02/06/2001	Herold
6,299,329	10/09/2001	Mui et al.
6,299,338	10/09/2001	Levinson et al.
6,361,198	03/26/2002	Reed
6,379,025	04/30/2002	Mateescu et al.
6,474,837	03/19/2002	Belliveau
6,568,834	05/27/2003	Scianna
6,618,031	09/09/2003	Bohn Jr., et al.
6,726,350	04/27/2004	Herold
6,787,999	09/07/2004	Stimac et al.
6,811,286	11/02/2004	Mateescu et al.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Joseph Teja, Jr.  
WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206

#### FOREIGN PATENT DOCUMENTS

FR	2586844	
GB	2,244,358	11/27/1991
GB	2,242,364	10/02/1991
GB	2,135,536	08/30/1984
GB	238,327	08/20/1925
GB	238,997	09/03/1925
GB	271,212	05/26/1927
GB	296,884	09/13/1928
GB	296,885	09/13/1928
GB	325,218	02/12/1930
GB	368,113	03/03/1932
GB	376,744	07/11/1932
GB	396,790	08/09/1933
GB	411,868	06/14/1934
GB	412,217	06/21/1934
GB	438,884	11/25/1935
GB	441,461	01/13/1936
GB	480,126	02/17/1938
GB	481,167	03/07/1938
GB	512,425	09/15/1939
GB	640,693	09/25/1950
GB	646,642	11/29/1950
GB	661,083	11/14/1951
GB	685,209	12/31/1952
GB	686,746	0/28/1953
GB	712,050	07/14/1954
GB	718,535	11/17/1954
GB	942,630	11/27/1963
JP	2-269939	11/05/1990
JP	10-071951	17/03/1998
JP	6-275105	09/30/1994
JP	9-139289	05/27/1997
WO	97/48138	18/12/1997

#### OTHER PUBLICATIONS

LEDtronics, Inc., LEDtronics Press Releases, "Conversion to LED System Provides Safe, Cost-Effective Lighting for Safelight Manufacturing," and "Ultra-Bright LED Replacements Offered for Industrial Control, Motor Control, Pilot Lights," June 30, 1997

Office Action Mailed November 24, 2005 in U.S. Application Serial No. 09/805,368.

Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Wayne Howell in Opposition to Color Kinetics's Motions for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No.

#### MAILING ADDRESS OF SENDER (Please do not use customer number below):

Joseph Teja, Jr.  
WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206

02 CV 11137 MEL.

Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Jerry Laidman in Opposition of Color Kinetics, Inc.'s Motion for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

Color Kinetics Incorporated v. Super Vision International, Inc., "Affidavit of Alfred D. Ducharme," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Clive Mitchell in Opposition of Color Kinetics Inc.'s Motion for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Bruce Hagopian in Support of Super Vision International, Inc.'s Motion for Summary Judgment Against Color Kinetics, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of William Little in Opposition to Color Kinetics's Motions for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision Intentional, Inc.'s Notice of Filing Declaration of Brent W. Brown," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Notice of Filing Amended Declaration of George G. Izenour," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Notice of Filing Declaration of Paul A. Miller," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Brett Kingstone in Opposition to Color Kinetic's Motion for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Expert Witness Rebuttal Report of Dr. David I. Kennedy Prepared and Submitted on Behalf of Super Vision International, Inc."

United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Motion for Summary Judgment Against Color Kinetics, Inc."

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Joseph Teja, Jr.  
WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206



United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on the Issue of Infringement"

United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on the Issue of Invalidity"

United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on Super Vision's 'Badmouthing' Claims"

United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on the Issue of Inequitable Conduct"

United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Opening Memorandum Concerning Claim Construction"

United States District Court, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Response to Color Kinetics' Motion for Summary Judgment on the Issue of Inequitable Conduct."

United States District Court, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Response to Color Kinetics' Motion for Summary Judgment on the Issue of Infringement"

United States District Court, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Response to Color Kinetics' Motion for Summary Judgment on the Issue of Invalidity"

United States District Court, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Response to Color Kinetics' Opening Memorandum Concerning Claim Construction"

Color Kinetics v. Super Vision International, Inc., "Preliminary Expert Witness Report of Dr. David I. Kennedy Prepared and Submitted on Behalf of Super Vision International, Inc.," United States District Court of Massachusetts Case No. 02 CV 11137 MEL.

G. MacGregor et al., "Solid-State Displays for CRT Replacement in Data Annotation Systems," Optotek Limited, Proceedings, IEEE-SID Conference on Display, Devices and Systems, 1974, Washington, DC, pp. 59-65.

P.G. Wareberg and D.I. Kennedy, "Flat-Panel Video Resolution LED Display System," Optotek Limited, IEEE, 1982, pp. 746-751.

R.J. Spiger, "LED Multifunction Keyboard Engineering Study," June 1983.

D. Effer et al., "Fabrication and Properties of Gallium Phosphide Variable Colour Displays,"

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Joseph Teja, Jr.  
WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206

July 1973.

David I. Kennedy, "Fabrication and Properties of Gallium Phosphide Variable Colour Displays," *Microelectronics*, vol. 5, no. 3, 1974, pp. 21-29.

Des Keppel, "Tech Tips, Pulse Adding Circuit," ETI November 1986

Multicolour Pendant, Maplin Magazine, Dec. 1981.

"Solid-State Dark Room Lighting, Elektor", October 1983.

Open Letter to the USPTO, October 14, 2004,  
<http://www.artisticlicense.com/app.notes/appnote027.pdf>

<http://www.artisticlicense.com/appnotes/appnote015.pdf>, pp. 1-17.

Optotek Limited, Technical Manual for Multicolor Interactive Switch Module AN-601 and Input Simulator AN-600, September 1986.

IN THE CLAIMS:

1. A device comprising:

a plurality of LEDs configured to produce light that includes at least two different spectra;

a material configured to receive the light emitted from the plurality of LEDs, and to display a color that is a combination of the at least two different spectra;

a memory to store at least one lighting program;

a processor configured to generate at least one control signal to control power delivered to one or more of the plurality of LEDs in response to execution of the at least one lighting program, the processor further configured to change the at least one control signal over time so as to produce from the device at least one dynamic lighting effect determined substantially by the at least one lighting program; and

a user interface adapted to receive a user input to control operation of the processor so as to facilitate a change in the color that is the combination of the at least two different spectra.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Joseph Teja, Jr.  
WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206



Please enter this request.

Thanks,  
MINHA

MA/12/2/05

ATTORNEY DOCKET NO.: C01104/70016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling, et al.  
Serial No: 09/805,590  
Confirmation No.: 1160  
Filed: March 13, 2001  
For: LIGHT-EMITTING DIODE BASED PRODUCTS  
Examiner: A., Minh D.  
Art Unit: 2821

COPY

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 29 day of March, 2005.

*Julie*  
Jeanne Chub

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST TO CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

Sir/Madam,

This is a request under 37 C.F.R. §1.48(a) to add inventor Timothy Holmes in the above-identified application. Pursuant to 37 C.F.R. §1.48(a), accompanying this request are: 1) a statement from Timothy Holmes that the error in inventorship occurred without deceptive intention on his part; 2) an oath or declaration signed by the actual inventors; and 3) the written consent of the assignee of the original named inventors and accompanying certification under 37 C.F.R. §3.73(b).

The processing fee of \$130, pursuant to 37 C.F.R. §1.17(i), is enclosed. Please charge any deficiencies to Deposit Account No. 50/2762.

Favorable consideration is requested.

Respectfully submitted,

Kevin Dowling et al., Applicants

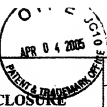
By:

*Joseph Teja, Jr.*  
Joseph Teja, Jr., Reg. No. 45,197  
Lowrie, Lando & Anastasi, L.L.P.  
One Main Street  
Cambridge, Massachusetts 02142  
Telephone: (617)395-7000

Docket No.: C01104/70016  
Date: March 29, 2005

FORM PTO-1449/A and B (Modified)

APR 04 2005



COPY

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

APPLICATION NO.: 09/805,590

ATTY. DOCKET NO.: C01104/70016

FILING DATE: March 13, 2001

APPLICANT: Kevin J. Dowling

GROUP ART UNIT: 2821

EXAMINER A, Minh D

Sheet 1 of 2

**U.S. PATENT DOCUMENTS**

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
MA		1,603,055		R.G. Williams	10/12/1926
MA		2,591,650		R.G. Williams	04/01/1952
MA		2,642,553		R.G. Williams	06/16/1953
MA		2,644,912		R.G. Williams	07/07/1953
MA		2,651,743		R.G. Williams	09/08/1953
MA		2,657,338		R.G. Williams	10/27/1953
MA		2,686,866		R.G. Williams	08/17/1954
MA		2,673,923		R.G. Williams	03/30/1954
MA		3,037,110		R.G. Williams	05/29/1962
MA		4,470,044		Bell	09/04/1984
MA		5,519,496		Borgert et al.	05/21/1996
MA		5,577,832		Lodhice	11/26/1996
MA		6,023,255		Bell	02/08/2000
MA		6,787,999	B2	Stimac et al.	09/07/2004

**FOREIGN PATENT DOCUMENTS**

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
MA		GB	238,327			08/20/1925	
MA		GB	238,997			09/03/1925	
MA		GB	271,212			05/26/1927	
MA		GB	296,884			09/13/1928	
MA		GB	296,885			09/13/1928	
MA		GB	325,218			02/12/1930	
MA		GB	368,113			03/03/1932	
MA		GB	376,744			07/11/1932	
MA		GB	396,790			08/09/1933	
MA		GB	411,868			06/14/1934	
MA		GB	412,217			06/21/1934	
MA		GB	438,884			11/25/1935	
MA		GB	441,461			01/13/1936	
MA		GB	480,126			02/17/1938	
MA		GB	481,167			03/07/1938	
MA		GB	512,425			09/15/1939	
MA		GB	640,693			09/25/1950	
MA		GB	646,642			11/29/1950	

FORM PTO-1449/A and B (Modified)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

APPLICATION NO.: 09/805,590 ATTY. DOCKET NO.: C01104/70016

FILING DATE: March 13, 2001

APPLICANT: Kevin J. Dowling

GROUP ART UNIT: 2821

EXAMINER: A, Minh D

Sheet 2 of 2

MA	GB	661,083			11/14/1951	
MA	GB	685,209			12/31/1952	
MA	GB	686,746			0/28/1953	
MA	GB	712,050			07/14/1954	
MA	GB	718,535			11/17/1954	
MA	GB	942,630			11/27/1963	
MA	JP	2-269939			11/05/1990	Y

## OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-Issue number(s), publisher, city and/or country where published	Translation (Y/N)
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Expert Witness Rebuttal Report of Dr. David J. Kennedy Prepared and Submitted on Behalf of Super Vision International, Inc."	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Motion for Summary Judgment Against Color Kinetics, Inc."	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on the Issue of Infringement"	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on the Issue of Invalidity"	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on Super Vision's 'Badmouthing' Claims"	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on the Issue of Inequitable Conduct"	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Opening Memorandum Concerning Claim Construction"	
MA		United States District Court, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Response to Color Kinetics' Motion for Summary Judgment on the Issue of Inequitable Conduct."	
MA		United States District Court, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Response to Color Kinetics' Motion for Summary Judgment on the Issue of Infringement"	
MA		United States District Court, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Response to Color Kinetics' Motion for Summary Judgment on the Issue of Invalidity"	
MA		United States District Court, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Response to Color Kinetics' Opening Memorandum Concerning Claim Construction"	
EXAMINER	DATE CONSIDERED		

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

APPLICATION NO.: 09/805,590

ATTY. DOCKET NO.: C01104/70016

FILING DATE: March 13, 2001

CONFIRMATION NO.: 1160

APPLICANT: Kevin J. Dowling et al.

COPY

GROUP ART UNIT: 2821

EXAMINER: A, Minh D.

Sheet

1

1

## U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		

## FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code		
MA		JP	9139289		05-27-1997	Abstract

## OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
MA		Color Kinetics v. Super Vision International, Inc., "Preliminary Expert Witness Report of Dr. David I. Kennedy Prepared and Submitted on Behalf of Super Vision International, Inc.," United States District Court of Massachusetts Case No. 02 CV 11137 MEL.	
MA		G. MacGregor et al., "Solid-State Displays for CRT Replacement in Data Annotation Systems," Optotek Limited, Proceedings, IEEE-SID Conference on Display, Devices and Systems, 1974, Washington, DC, pp. 59-65.	
MA		P.G. Wareberg and D.I. Kennedy, "Flat-Panel Video Resolution LED Display System," Optotek Limited, IEEE, 1982, pp. 746-751.	
MA		R.J. Spiger, "LED Multifunction Keyboard Engineering Study," June 1983.	
MA		D. Effer et al., "Fabrication and Properties of Gallium Phosphide Variable Colour Displays," July 1973.	
MA		David I. Kennedy, "Fabrication and Properties of Gallium Phosphide Variable Colour Displays," Microelectronics, vol. 5, no. 3, 1974, pp. 21-29.	
MA		Des Keppel, "Tech Tips, Pulse Adding Circuit," ETI November 1986	
MA		Multicolour Pendant, Maplin Magazine, Dec. 1981.	
MA		"Solid-State Dark Room Lighting, Elektor", October 1983.	
MA		Open Letter to the USPTO, October 14, 2004, <a href="http://www.artisticlicense.com/app.notes/appnote027.pdf">http://www.artisticlicense.com/app.notes/appnote027.pdf</a>	
MA		<a href="http://www.artisticlicense.com/appnotes/appnote015.pdf">http://www.artisticlicense.com/appnotes/appnote015.pdf</a> , pp. 1-17.	
MA		Optotek Limited, Technical Manual for Multicolor Interactive Switch Module AN-601 and Input Simulator AN-600, September 1986.	
EXAMINER		DATE CONSIDERED	
MAH DUA		8/22/05	

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

COPY

Sheet Page 1 of 2

Form PTO-1449

**INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION**  
(Use several sheets if necessary)
Docket Number (Optional)  
CKB-016.01Application Number  
09/805,590Applicant  
Dowling et al.Filing Date  
March 13, 2001Group Art Unit  
2821

MAILED  
JUL 25 2005  
TRADE MARK OFFICE

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MA	US2004/0218387	11/04/2004	Gerlach	362	231	3/18/04
MA	US2005/0122064	06/09/2005	Chevalier et al.	315	291	1/21/05
MA	US2005/0122292	06/09/2005	Schmitz et al.	345	93	1/23/05
MA	US2005/0122718	06/09/2005	Kazar et al.	362	252	1/11/2005
MA	US2005/0128743	06/16/2005	Chuoy et al.	362	234	9/30/2004
MA	4,317,071	02/23/1982	Murad	315	312	11/2/1978
MA	4,342,906	08/03/1982	Hyatt	250	205	2/2/1978
MA	4,957,291	09/18/1990	Miffitt et al.	273	193R	
MA	5,136,483	08/04/1992	Schöniger et al.	362	61	8/20/1990
MA	5,165,778	11/24/1992	Matthias et al.	362	101	11/05/1990
MA	5,400,228	03/21/1995	Kao	362	231	7/12/1994
MA	6,299,329	10/09/2001	Mui et al.	362	227	2/23/1999
MA	6,299,338	10/09/2001	Levinson et al.	362	559	11/30/1998
MA	6,474,837	03/19/2002	Belliveau	362	231	11/20/2000
MA	6,618,031	09/09/2003	Bohn Jr., et al.	345	93	2/26/1999

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
MA	JP 10-071951	17.03.1998	Japan	—	—	✓	Abstract Only
MA	WO 97/48138	18.12.1997	PCT	—	—	✓	

**OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages Etc.)

MA	LEDtronics, Inc., LEDtronics Press Releases, "Conversion to LED System Provides Safe, Cost-Effective Lighting for Safelight Manufacturing," and "Ultra-Bright LED Replacements Offered for Industrial Control, Motor Control, Pilot Lights," June 30, 1997
MA	Office Action Mailed November 24, 2005 in U.S. Application Serial No. 09/805,368.
MA	Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Wayne Howell in Opposition to Color Kinetics's Motions for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
MA	Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Jerry Laidman in Opposition of Color Kinetics, Inc.'s Motion for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
MA	Color Kinetics Incorporated v. Super Vision International, Inc., "Affidavit of Alfred D. Ducharme," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

COPY

Sheet Page 2 of 2

Form PTO-1449

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)
Docket Number (Optional)  
CKB-016.01Application Number  
09/805,590Applicant  
Dowling et al.Filing Date  
March 13, 2001Group Art Unit  
2821

MA	Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Clive Mitchell in Opposition of Color Kinetics Inc.'s Motion for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
MA	Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Bruce Hagopian in Support of Super Vision International, Inc.'s Motion for Summary Judgment Against Color Kinetics, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
MA	Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of William Little in Opposition to Color Kinetics's Motions for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
MA	Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Notice of Filing Declaration of Brent W. Brown," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
MA	Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Notice of Filing Amended Declaration of George G. Izenour," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
MA	Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Notice of Filing Declaration of Paul A. Miller," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
MA	Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Brett Kingstone in Opposition to Color Kinetic's Motion for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
EXAMINER	DATE CONSIDERED
<i>Mark D. A.</i>	<i>8/10/05</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	





COPY

FORM PTO-1449/A and B (Modified) 21 2004

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Sheet 1 of 1

APPLICATION NO.: 09/805,590

ATTY. DOCKET NO.: C01104-70016

FILING DATE: March 13, 2001

CONFIRMATION NO.: 1160

APPLICANT: Kevin J. Dowling et al.

GROUP ART UNIT: 2821

EXAMINER: A, Minh D.

## U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of Issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
MA		3,760,174		Boenning et al.	09/18/1973
		5,365,084		Cochran et al.	11/15/1994
		5,655,830		Ruskowski	08/12/1997
		5,902,166		Robb	05/11/1999
		6,183,108	B1	Herold	02/06/2001
		6,361,198	B1	Reed	03/26/2002
		6,568,834		Scianna	05/27/2003
		6,726,350		Herold	04/27/2004

## FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
MA		FR	2586844	A1			Y
EXAMINER					DATE CONSIDERED		
M. H. D. [Signature]					1/20/05		

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICATION NO.: 07,652

ATTY. DOCKET NO.: C01104/70016

FILING DATE: March 13, 2001

APPLICANT: Kevin J. Dowling, et al.

GROUP ART UNIT: 2821

EXAMINER: Unassigned

Sheet

2

of

FEB 27 2002

PARENT &amp; TRADEMARK OFFICE

COPY

Examiner's Initials#	Cite No.	U.S. Patent Document		Date of Publication or of issue of Cited Document MM-DD-YYYY	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
MA		6,184,628	B1	Ruthenberg	
MA		6,196,471	B1	Ruthenberg	02/06/01
MA		6,211,626	B1	Lys et al.	03/06/01
MA		6,220,722	B1	Begemann	04/03/01
		6,227,679	B1	Zhang et al.	04/24/01
					05/08/01

## FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
MA		GB	2 135 536 A		Wobbot International Limited	08/30/98	YES
MA		GB	2 242 364 A		Colin Dowden	10/02/99	YES
MA		GB	2 244 358 A		Mark Stephen Gomoluch	11/27/99	YES
		JP	6 275 105 A2			09/30/92	YES

## OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No.	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
MA		International Search Report from PCT Application PCT/US01/13151	
MA		Co-pending U.S. Patent Application, Serial No. 09/805,368, filed March 13, 2001, Kevin J. Dowling et al., "Light Emitting Diode Based Products"	

EXAMINER

DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]